
From: Michael Clarke
Sent: Thursday, September 20, 2012 2:29 PM
To: Mary Hutchason
Cc: John LaRocca; John Duff; Nancy Baran; Michael Perfetto
Subject: SOM

Importance: High

Mary,

I need you to schedule an hour long, in-person meeting in Morristown for Monday afternoon on Suspicious Order Monitoring responsibilities. Invited should be:

- Nancy Baran
- Rachelle Galant
- Doug Plassche
- Jason Chung
- Omar Plaza
- John Duff
- John LaRocca
- John Kaldes
- Mike Perfetto*
- Chris Young*

*Chris and Mike are optional.

It should be in a Morristown conference room and everyone should be here in person; there will be no dial in.

Thanks.

Regards,

Michael

Michael R. Clarke
Ethics & Compliance Officer- Americas



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