
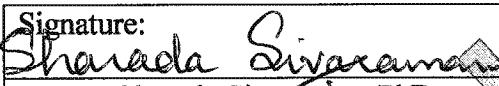



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Author(s):


Signature: 	Date: 2/18/2014
Name: Adam Rosendorff, MD	Title: Laboratory Director, Theranos


Reviewer(s)

Signature: 	Date: 3/19/14
Name: Sharada Sivaraman, PhD	Title: Immunoassay Team Leader

Signature: 	Date: 3/18/2014
Name: Daniel Young, Ph.D.	Title: Vice President

Approver(s):

Signature: 	Date: 2/18/2014
Name: Adam Rosendorff, M.D	Title: Laboratory Director


Sunil S. Dhawan M.D.

9/19/15

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1 ASSAY BACKGROUND

Complement proteins are acute phase reactants that bind to the surface of blood-borne bacteria, thereby allowing for complement mediated lysis or opsonization by macrophages. Complement levels rise rapidly during inflammation and infection, and can drop in the setting of autoimmune diseases, particularly those in which complement is deposited in tissues such as the lung or kidney (eg Goodpasture's disease, Wegener's Granulomatosis, acute glomerulonephritis, membranoproliferative glomerulonephritis). Patients deficient in C4 protein are often more susceptible to infection with encapsulated bacteria such as Hemophilus influenza and Neisseria meningitidis. Complement C4 levels are often viewed in combination with C3 levels to provide a more focused clinical differential diagnosis. For instance in acute glomerulonephritis, C3 levels are decreased while C4 levels are normal while in Systemic Lupus Erythematosus, both C3 and C4 are decreased.

2 REGULATION AND GUIDANCE

The qualification/validation of the ELISA assays on the Therasnos device will be in accordance with C.F.R. Ch IV, § 493.1253 "Standard: Establishment and verification of performance specifications" and outlined in CLSI guideline C28A3.

3 PRINCIPLE OF THE ASSAY

3.1 Sample is reacted with a buffer containing antibody specific for human complement C4 (1 E-globulin). The absorbance (340/694 nm) of the resulting turbid solution is proportional to the concentration of C4 in the sample. By constructing a standard curve from the absorbance of standards, C4 concentration of sample is determined.

3.2 Modification of the procedure was as follows:

Plasma samples were diluted 1:3.125 fold in saline prior to analysis.

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4 CALIBRATION

4.1 In 42 CFR Part 493.1255, it is required to perform calibration procedures with at least the frequency recommended by the manufacturer, or using criteria specified by the laboratory, or when calibration verification fails to meet acceptable limits.

4.1.1 The term "calibration verification," as used in CLIA, includes:

4.1.1.1 Confirming that a calibration meets the method manufacturer's specifications

4.1.1.2 Verifying that the calibration is suitable for the entire measuring interval (or "reportable range," which is the CLIA term)

4.2 Calibrators were diluted 1:3.125 and verified on the ADVIA system

4.2.1 This dilution factor is within the acceptable limits of the ADVIA internal calibration test.

4.3 For the purposes of this Validation Plan, calibration was carried out with every new lot of reagents.

4.3.1 Each level was tested in replicates of 3 and the average was used to create a standard curve for testing.

4.3.2 The calibration was verified using quality controls.

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5 QUALITY CONTROL

5.1 Two to four level quality control samples, as appropriate to the assay, were analyzed with each calibration and before each test during the validation.

5.1.1 High = 50 mg/dL

5.1.2 Mid = 33.2 mg/dL


5.1.3 Low = 14.7 mg/dL

5.2 The QC levels are not included when generating the calibration curve.

6 PRECISION

6.1 Precision was evaluated according to CLSI standard EP5-A2, Evaluation of Precision Performance of Quantitative Measurement Methods. A total of 20 runs were performed over 10 days with 2 runs per day and 2 replicates per run for a total of 40 data points. The following tables indicate the between-run, between-day and within-laboratory precision at 3 levels as shown in section 5.

Figure 1: Level 1 Precision

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Precision (CLSI EP05-A2)

Complement C4 (C4) (mg/dL) by ADVIA-Diluted using ADVIA at Theranos

Establish the precision of a measurement procedure (EP05-A2)

Precision

CLSI guideline EP05-A2 section 10.8

Level = L1

Number of observations	40
Number of runs	20
Number of days	10
Runs per day	2
Replicates per run	2

CLSI guideline EP05-A2 section 10.4 recommends a minimum of 40 runs, with 2 replicates per run.

Mean	SD	95% CI	CV	Allowable Total SD
14.02				
Repeatability	0.15	0.12 to 0.22	1.1%	-
Between-run	0.16		1.1%	-
Between-day	0.21		1.5%	-
Within-laboratory	0.31	0.23 to 0.45	2.2%	1.40

Imprecision is less than allowable total imprecision: 10%.



Figure 2: Level 2 Precision

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Level = L2

Number of observations	40
Number of runs	20
Number of days	10
Runs per day	2
Replicates per run	2

CLSI guideline EP05-A2 section 10.4 recommends a minimum of 40 runs, with 2 replicates per run.

Mean	SD	95% CI	CV	Allowable Total SD
31.51				
Repeatability	0.37	0.28 to 0.54	1.2%	-
Between-run	0.00		0.0%	-
Between-day	0.52		1.6%	-
Within-laboratory	0.63	0.47 to 0.99	2.0%	3.15

Imprecision is less than allowable total imprecision: 10%.

Figure 3: Level 3 Precision

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Precision (CLSI EP05-A2)

Complement C4 (C4) (mg/dL) by ADVIA-Diluted using ADVIA at Theranos

Establish the precision of a measurement procedure (EP05-A2)

Precision (continued...)

Level = L3

Number of observations	40
Number of runs	20
Number of days	10
Runs per day	2
Replicates per run	2

CLSI guideline EP05-A2 section 10.4 recommends a minimum of 40 runs, with 2 replicates per run.

Mean	47.85			
	SD	95% CI	CV	Allowable Total SD
Repeatability	0.72	0.55 to 1.04	1.5%	-
Between-run	0.67		1.4%	-
Between-day	1.11		2.3%	-
Within-laboratory	1.48	1.11 to 2.24	3.1%	4.78

Imprecision is less than allowable total imprecision: 10%.

6.2 Acceptance criteria:

Total allowable error (TAE %) of 29%, was selected as the acceptance criteria for this assay following proficiency guidelines recommended by the American Proficiency Institute Peer Data for 2013 CHEMISTRY / IMMUNOLOGY / IMMUNOHEM -1ST EVENT, and an allowable TAE of +/-3SD (CLIA). Allowable bias was calculated as the residual error budget after precision values (CV %) were subtracted from TAE (%).

Table II Total Allowable Error % (TAE%)

	Level 1	Level 2	Level 3
TAE%	29%	29%	29%
CV (%)	2.2	2.0	3.1
Allowable Bias (%)	26.8%	26%	26.7%
Bias (%)	22	22	22
Decision	Pass	Pass	Pass

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7 BIAS ESTIMATION: SERUM (Siemens) versus K2-EDTA (Theranos)

- 7.1 The Siemens-recommended tube type for C4 is Serum, however, the Theranos preferred tube type is EDTA-plasma. Since a potential exists for anticoagulant incompatibility, a study was performed to estimate bias between assay values obtained from EDTA-Plasma versus Serum.
- 7.2 Twenty (20) venous samples were run using the predicate Siemens protocol, and in parallel on the Theranos assay with pre-dilution. Results were plotted in a scatter diagram, and a simple linear regression was performed (Figure I). Raw data showing predicate (Serum), Theranos (EDTA), and corrected Theranos data are shown in table III.
- 7.3 Mean bias comparing methods was calculated as follows: %Bias=[(Theranos-Siemens)/Siemens]*100 and results are shown in the column labelled “% difference” and indicated in Section 6.2.
- 7.4 Mean bias is less than allowable bias therefore, the acceptance criteria PASS.

Sample #	Siemens	Serum	Theranos	EDTA	% difference	T-Corr	%difference
8	C4	17.2	T-C4	13.23	26.09%	16.64	164.75%
11	C4	17.2	T-C4	13.61	23.30%	17.10	0.29%
18	C4	18	T-C4	15.49	14.99%	19.36	-3.63%
7	C4	19.9	T-C4	15.56	24.48%	19.44	1.16%
12	C4	20.6	T-C4	16.26	23.55%	20.28	0.78%
6	C4	20.9	T-C4	17.09	20.06%	21.28	-0.90%
19	C4	21.3	T-C4	17.89	17.40%	22.24	-2.16%
13	C4	22.3	T-C4	16.77	28.31%	20.90	3.25%
5	C4	23.8	T-C4	18.42	25.49%	22.88	1.97%
3	C4	23.9	T-C4	20.65	14.59%	25.56	-3.35%
17	C4	24.5	T-C4	20.03	20.08%	24.81	-0.63%
4	C4	24.6	T-C4	21.97	11.29%	27.14	-4.92%
15	C4	25	T-C4	18.87	27.95%	23.42	3.27%
14	C4	28.6	T-C4	23.07	21.41%	28.47	0.24%
10	C4	29	T-C4	21.74	28.62%	26.87	3.82%
1	C4	29.3	T-C4	23.19	23.28%	28.61	1.19%
20	C4	30.4	T-C4	24.07	23.24%	29.67	1.22%

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2	C4	32.8	T-C4	25.81	23.85%	31.76	1.62%
16	C4	34.2	T-C4	27.16	22.95%	33.38	1.21%
9	C4	37.7	T-C4	33.36	12.22%	40.83	-3.98%
Average		25.06		20.21	21.66%	25.03	8.26%

Table III: Bias estimation Siemens versus Therasnos methods.

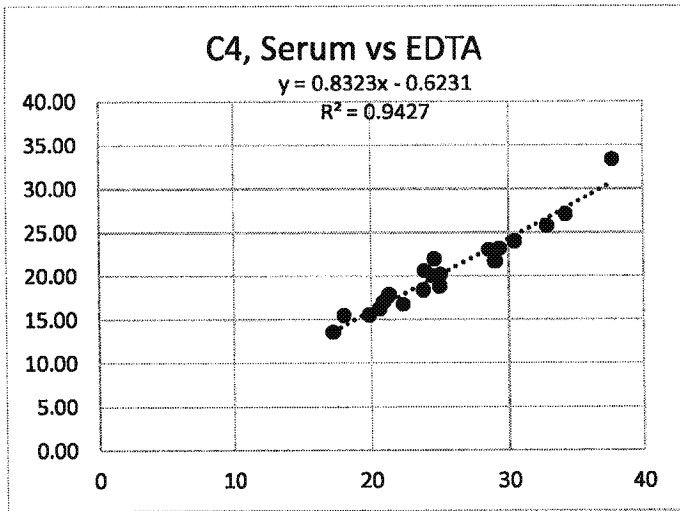



Figure I: Bias estimation Siemens versus Therasnos methods.

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8 CTN REFERENCE RANGE VERIFICATION

- 8.1 20 unique fingerstick samples from healthy donors, collected in capillary tube and nanotainers (CTNs) were assayed in duplicate using the Theranos methods, as shown in Table III. Resulting values were corrected to match more closely with the predicate using the regression equation as follows: $\text{Corrected value} = (\text{CTN value} + 0.6231 / 0.8323)$, and results are indicated in column 7 (T-corr).
- 8.2 19/20 (95%) of corrected Theranos values fell within the predicate reference range (12-36 mg/dL) (CLSI guidance C28-A3c). Values falling out of the predicate reference range are indicated in bold (Table III) Excluding Theranos values where the corresponding predicate value fell out of the reference range, 19/19 (100%) of corrected Theranos values fell within the reference range. Therefore the reference range is verified.

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